Authoring Domain Specific Languages in Spreadsheets Using XML Technologies



Alan Painter Development Engineer HSBC France

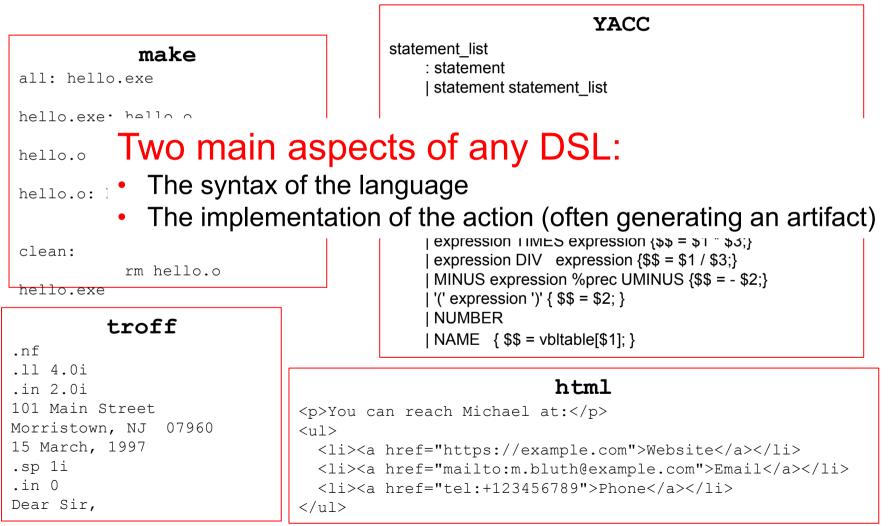


XML Prague 8 February 2019

Domain Specific Languages – The Short Description

What is a DSL?

• a computer language specialized to a particular application domain



Domain Specific Languages – Diverse Uses

In a paper presented October 2018 to the ACM/IEEE Conference *MODELS '18*, Juha-Pekka Tolvanen and Steven Kelly presented a survey of DSLs and the effort required to develop them. The DSLs surveyed were in diverse domains:

- Voice control systems for home automation
- Testing a military radio system
- Touch screen controller

Their survey noted that the it required from a few person-days to 3 person-weeks to develop the DSLs.

Domain Specific Languages – What's the Utility?

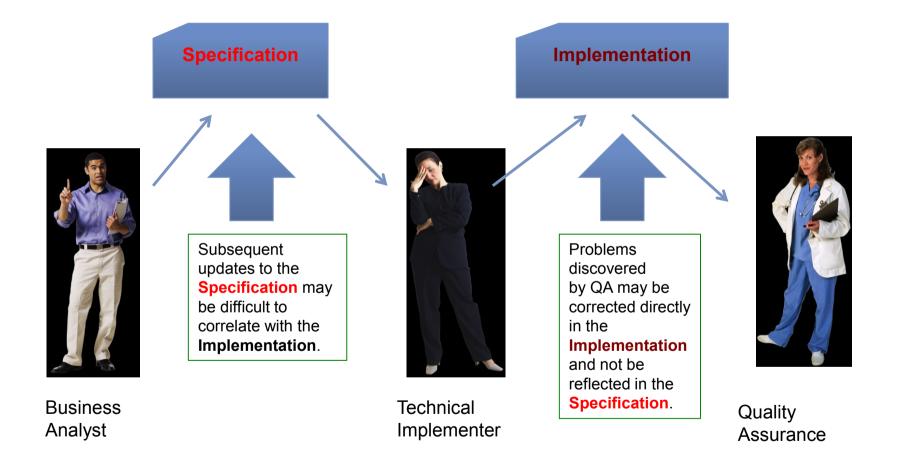
« I believe that the hardest part of software projects, the most common source of project failure, is communication with the customers and users of that software. By providing a clear yet precise language to deal with domains, a DSL can help improve this communication. »

—Martin Fowler, *Domain Specific Languages*, 2010

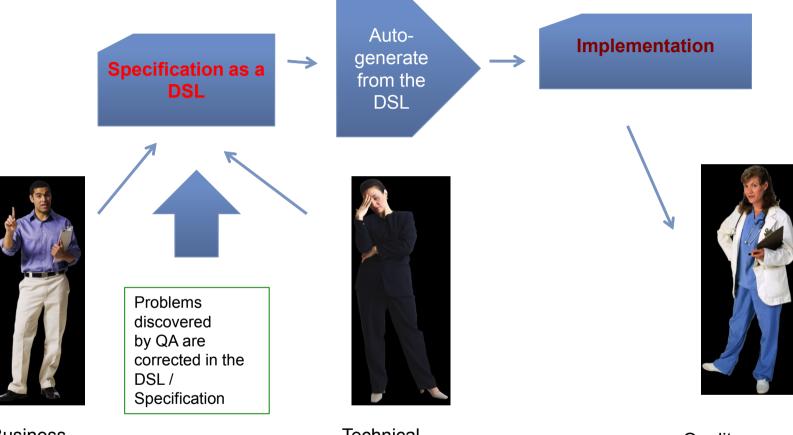
«[XML] is terrible for a programming language. Once you start putting structures like control logic the noise of XML becomes intolerable. The great example of this is XSLT, which is awful to work with. No language can be good that makes a subroutine call so painful. »

—Martin Fowler, Use of XML, 3 January 2014

A Typical Development Process Without a DSL



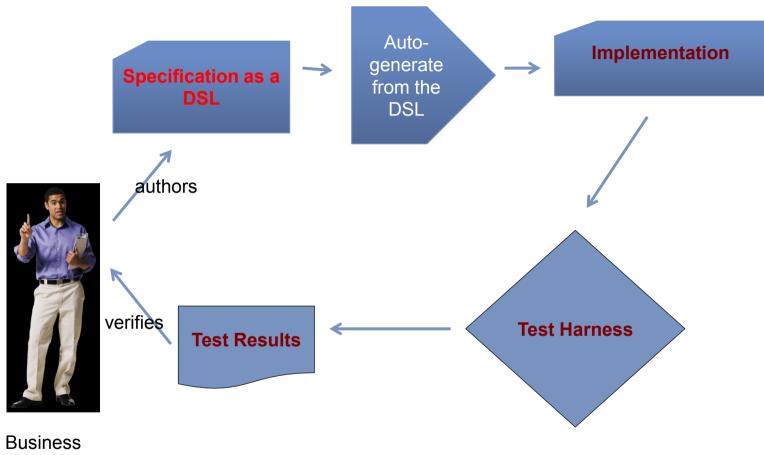
A Cleaner Development Process With a DSL



Business Analyst Technical Implementer

Quality Assurance

A Shorter Testing Cycle With a DSL



Analyst

DSLs in Spreadsheets

- Business Analysts and Domain Experts are Extremely Comfortable working with Spreadsheets
- Everything is squared up.
 - Rows can be lined up for tablular data \rightarrow readability
- The editing model allows for editing blocks of cells, entire rows, entire columns.
- Even multi-line text can be contained within a cell
- Can add text colors, styles, background colors, etc (i.e. Pimp my spec!!!)

Summing up: The Value Proposition

If we accept that :

- DSLs present a meaningful and readable expression of a process
- Business Analysts can use DSLs to be direct contributors to development
- Business Analysts prefer to work with spreadsheets

We should use spreadsheets as a support for DSLs!

But wait, there's more!

- XML Technologies (Xquery, XSLT) can read spreadsheets easily
- XML Technologies (Xquery, XSLT) can produce almost any artifact

We can use XML Technologies for implementing DSLs in Spreadsheets.

Why is it that XML Technologies can read a spreadsheet document so easily?

Spreadsheet documents are already XML!

- Microsoft XML Format (.xml)
- a single xml Document (Office 2003)
- Open Office XML (OOXML) (.xlsx)
- a zip archive containing a collection of XML files
- 2 major versions of the XML content
- Open Document Format (ODF) (.odt)
- a zip archive containing a collection of XML files

The Simple Model for Data in a Spreadsheet

<Workbook> <Worksheet name="Sheet1"> <Line> <Cell>On</Cell> <Cell>First</Cell> <Cell>Line</Cell> </1 ine> <l ine> <Cell>On</Cell> <Cell>Second</Cell> <Cell>Line</Cell> </1 ine> </Worksheet> <Worksheet name="Sheet2">

</Worksheet> </Workbook>

	Α	В	C
1	On	First	Line
2	On	Second	Line
3			
4			
5	Wor	ksheets	
6			
7			
	Sheel	t1 Sheet2 +	

Using our Simple Model to Design a Spreadsheet DSL

	_	Α	В	С	D	E	F	G
1	L	package	dslss.fsm					
2								
3		header	Events	Start	FiveCents	TenCents	FifteenCents	TwentyCents

Using our Simple Model to Design a Spreadsheet DSL(2)

header	Events	Start
action	Nickel	
nextstate	Nickel	FiveCents
action	Dime	
nextstate	Dime	TenCents
action	Quarter	dispenseCandy
nextstate	Quarter	Start
action	CoinReturnButton	returnCoins
nextstate	CoinReturnButton	Start

DSL of an Automaton (Finite State Machine)

	•			📑 FsmD	emo.xls	x							
1	Accueil Mise en page Tableaux Grap				ohiques SmartArt Formules >>> >>						\mathbf{v}	‡₹	
	Α	В	С	D		E		F	(Н	=
1	package	dslss.fsm											
2													
3	header	Events	Start	FiveCents	TenCe	nts	Fifteen	Cents	Twenty	Cents			
4													
5	action	Nickel							dispens	eCandy			
6	nextstate	Nickel	FiveCents	TenCents	Fifteer	Cents	Twenty	Cents	Start				
7													
8	action	Dime					dispens	eCandy	dispens	eCandy			
9	nextstate	Dime	TenCents	FifteenCents	Twent	yCents	Start		Start				
10													
11	action	Quarter	dispenseCandy	dispenseCan	dy dispen	seCandy	dispens	eCandy	dispens	eCandy			
12	nextstate	Quarter	Start	Start	Start		Start		Start				
13													
14	action	CoinReturnButton	returnCoins	returnCoins	return	Coins	returnC	oins	returnC	oins			
15	nextstate	CoinReturnButton	Start	Start	Start		Start		Start				
16													
		FSM-FsmDemoBa	se +										//

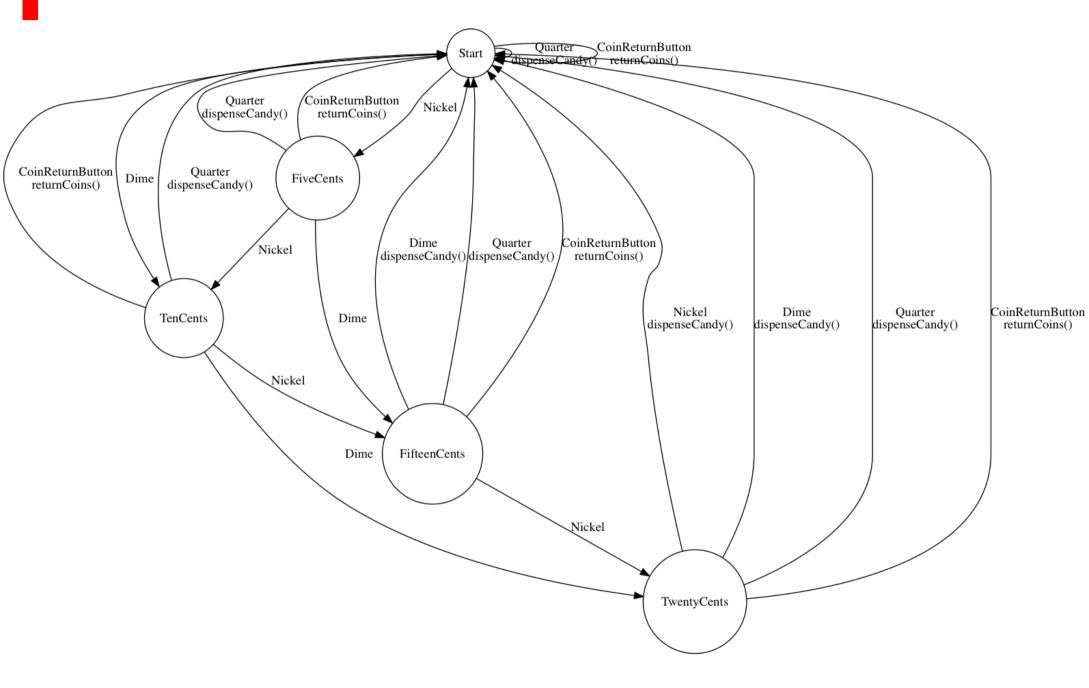
Generated Java Abstract Class

```
package dslss.fsm;
public abstract class FsmDemoBase {
   public enum Event { Nickel, Dime, Quarter, CoinReturnButton }
   public enum State { Start, FiveCents, TenCents, FifteenCents, TwentyCents }
   protected abstract Runnable dispenseCandy();
   protected abstract Runnable returnCoins();
   private final Runnable action[][] = {
       { nop(), nop(), nop(), nop(), dispenseCandy() },
       { _ nop(), _ nop(), _ nop(), _ dispenseCandy(), dispenseCandy() },
       { dispenseCandy(), dispenseCandy(), dispenseCandy(), dispenseCandy(), dispenseCandy() },
       { returnCoins(), returnCoins(), returnCoins(), returnCoins(), returnCoins() },
   };
   private final static State nextState[][] = {
       { State.FiveCents, State.TenCents, State.FifteenCents, State.TwentyCents, State.Start },
       { State.TenCents, State.FifteenCents, State.TwentyCents, State.Start, State.Start },
                                          State.TwentyCents, State.Start,
       { State.Start, State.Start,
                                                                              State.Start },
       { State.Start, State.Start,
                                          State.TwentyCents, State.Start,
                                                                               State.Start },
   };
   public final State handleEvent(final State currentState, final Event newEvent) {
                action [newEvent.ordinal()] [currentState.ordinal()].run();
       return nextState [newEvent.ordinal()] [currentState.ordinal()];
   }
   private Runnable nop() { return () -> {}; }
```

Generated GraphViz

digraph FsmDemo	Base	{		
node [shape	= C.	ircle];		
Start	->	FiveCents	[label = "Nickel"];
Start	->	TenCents	[label = "Dime"];
Start	->	Start	<pre>[label = "Quarter\ndispenseCandy()"</pre>];
Start	->	Start	<pre>[label = "CoinReturnButton\nreturnCoins()</pre>	"];
FiveCents	->	TenCents	[label = "Nickel"];
FiveCents	->	FifteenCents	[label = "Dime"];
FiveCents	->	Start	<pre>[label = "Quarter\ndispenseCandy()"</pre>];
FiveCents	->	Start	<pre>[label = "CoinReturnButton\nreturnCoins()</pre>	"];
TenCents	->	FifteenCents	[label = "Nickel"];
TenCents	->	TwentyCents	[label = "Dime"];
TenCents	->	Start	<pre>[label = "Quarter\ndispenseCandy()"</pre>];
TenCents	->	Start	<pre>[label = "CoinReturnButton\nreturnCoins()</pre>	"];
FifteenCent	s ->	TwentyCents	[label = "Nickel"];
FifteenCent	s ->	Start	<pre>[label = "Dime\ndispenseCandy()"</pre>];
FifteenCent	s ->	Start	<pre>[label = "Quarter\ndispenseCandy()"</pre>];
FifteenCent	s ->	Start	<pre>[label = "CoinReturnButton\nreturnCoins()</pre>	"];
TwentyCents	->	Start	<pre>[label = "Nickel\ndispenseCandy()"</pre>];
TwentyCents	->	Start	<pre>[label = "Dime\ndispenseCandy()"</pre>];
TwentyCents	->	Start	<pre>[label = "Quarter\ndispenseCandy()"</pre>];
TwentyCents	->	Start	<pre>[label = "CoinReturnButton\nreturnCoins()</pre>	"];
}				

GraphViz Graphic



Generating an Application Configuration

- We have a large number of installed instances with different configurations.
- We want to have a central inventory of the instances and their different configurations.
- We'll generate at least some properties files (two in the example)

```
system.location=AUSTIN
jms.QUEUE_MGR=DGBLHFCMP1
jms.HOST_NAME=gbltstfiag.yoyodyne
jms.PORT=23400
...
```

```
wrapper.java.additional.1=-Drmi.hostname=localhost
wrapper.java.additional.2=-Xms1024m
wrapper.java.additional.3=-Xmx1024m
wrapper.app.parameter.1=classpath:yoyodyne_service.xml
...
```

DSL Model for Generating an Application Configuration

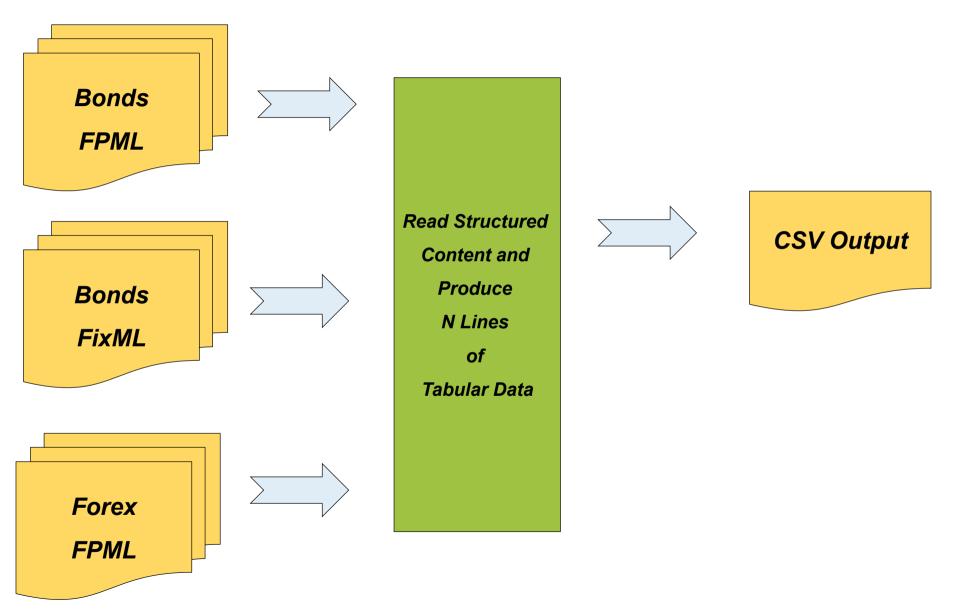
	Annual	Mine en men	Tableaux	Oranhi		martArt		33	\$
1	Accueil	Mise en page		Graphique				>> \	-94
	A	В	С	D	E	F	G	H	
1	Instance	location	QueueBroker	QueueSet		debugPort		localOnly	
2	DEV	AUSTIN	TEST	SIT	SIT	17001			
3	SIT	DALLAS	TEST	SIT	UAT	17021			
4	UAT	HOUSTON	TEST	UAT	UAT	17041			
5	OAT	PARIS	TEST	UAT	UAT	17061			
6	PDN	TEJAS	PDN	PDN	PDN		PDN	yes	
7									
8	QueueBroker	-	Host	Port	Channel				
9	TEST	DGBLHFCMP1	gbltstfiag.yoyodyne	23400					
10	PDN	PGBLHFCMP1	gblprdfiag.yoyodyne	21200	PDN				
11									
12	QueueSet	Trade	Position						
13	SIT	XYZ.TRADE.34	XYZ.POSN.34						
14	UAT	LMN.TRADE.01							
15	PDN	ABC.TRADE.01	ABC.POSN.07						
16									
17	BackEnd	QueueSuffix							
18	SIT	.001							
19	UAT	.003							
20	PDN								
21									
22	Accounts	Name							
23	TEST	Austin							
24	OAT	Dallas							
25	OAT	Houston							
26	PDN	Austin							
27	PDN	Dallas							
28	PDN	Houston							

Templates for the Properties Files

	•				📄 DslConfig	g.xlsx					
1	Accueil	Mise en page	Tableau	x Graphiques	Graphiques SmartArt Formules			Révision	~	/ 3	ŧτ
1	A	B	С		D			E			
1	Туре	PropertyName	Condition	TextValueTemplate1			TextValueTe	mplate2			
2	Comment			Properties for the Yoyo	dyne Application						
3	Property	system.location		{f:instanceValue	('location'))}					
- 4	Property	jms.QUEUE_MGR		<pre>{f:linkedValue('</pre>	inkedValue('QueueBroker','Manager')}						
5	Property	jms.HOST_NAME		{f:linkedValue('	f:linkedValue('QueueBroker','Host')}						
6	Property	jms.PORT		{f:linkedValue('	QueueBroker	','Port')}					
7	Property	jms.CHANNEL		{f:linkedValue('	QueueBroker	','Channel')	}				
8	Property	jms.connectRetries		3							
9	Property	jms.TRADE_QUEUE		{f:linkedValue('	QueueSet','	<pre>Irade')}</pre>	{f:linked	Walue('BackEnd	i','QueueSuffix')	}	
10	Property	jms.POSN_QUEUE		<pre>{f:linkedValue('</pre>	QueueSet','I	Position')}	{f:linked	Walue('BackEnd	i','QueueSuffix')	}	
11	Property	system.accounts		{string-join(f:1	inkedValues	('Accounts',	'Name'), '	<pre>/')}</pre>			
12											
14 4		Yoyodyne Applicat	ion Wrapp	er +							1/2

	•				📄 Dsl(Config.xlsx					
1	Accueil	Mise en page	Tableaux	Graphiques	SmartArt	Formules	Formules Données Révision			~	-‡
	Α	В		С			D		E		
1	Туре	PropertyName	Condition			TextValueTempl	ate1	1	TextValueTemplate2		
2	Comment					Properties for th	e Yoyodyne Wra	pper			
3	Property	java.additional	f:instanceVal	ue('localOnly'	') eq 'yes'	-Drmi.hostn	ame=localho:	st			
- 4	Property	java.additional	f:instanceVal	ue('debugPort') ne ''	-Djava.debu	g.port=		{f:instanceValue('debugPort')]	}
5	Blank										
6	Property	java.additional				-Xms1024m					
7	Property	java.additional				-Xmx1024m					
8	Property	app.paramter				classpath:y	oyodyne_ser	vice.xml			
9											
10											
11											
	->->	Yoyodyne Applic	ation Wrapper	+/							11 //

Extracting Tabular Data From Diverse Content Models



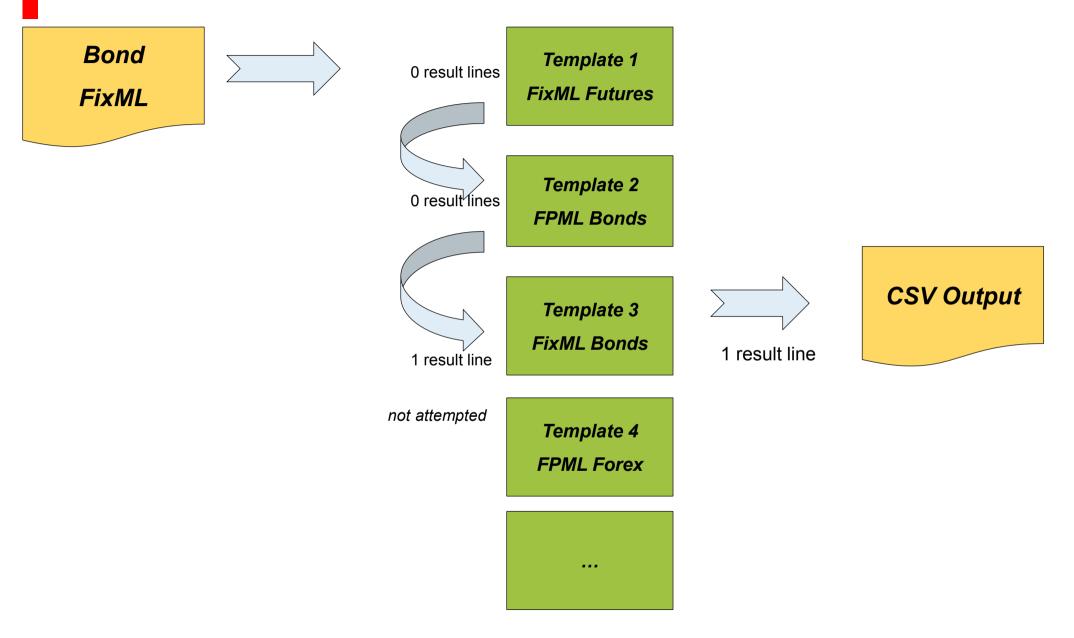
Primitive DSL

/Bond/TrdCaptRpt \$trade/TrdLeg/@BookId
strade/TrdLeg/@BookId
ency ccy
unt @lastQty
em 'SystemC'
er_id @primaryTrader
_date instr/@maturity
ing_book \$book

Generated XSLT Template From the Primitive DSL

```
<xsl:template xmlns:fpml="http://www.fpml.org/FpML-5/recordkeeping"</pre>
              xmlns:fixml="http://www.fixprotocol.org/FIXML-4-4"
              xpath-default-namespace="http://www.fixprotocol.org/FIXML-4-4"
              name="f:BOND-FixmlBond" as="xs:string*">
    <xsl:for-each select="/Bond/TrdCaptRpt">
        <xsl:variable name="book" as="xs:string" select="$trade/TrdLeg/@BookId" />
        <xsl:variable name="resultCells" as="item()*">
            <xsl:sequence select="f:empty-if-absent(ccy)" />
            <xsl:sequence select="f:empty-if-absent(@lastQty)" />
            <xsl:sequence select="f:empty-if-absent('SystemC')" />
            <xsl:sequence select="f:empty-if-absent(@primaryTrader)" />
            <xsl:sequence select="f:empty-if-absent(instr/@maturity)" />
            <xsl:sequence select="f:empty-if-absent($book)" />
        </xsl:variable>
        <xsl:value-of separator="{$separator}"</pre>
                      select="for $i in $resultCells
                              return f:encode-csv($i, $separator)" />
    </xsl:for-each>
</xsl:template>
```

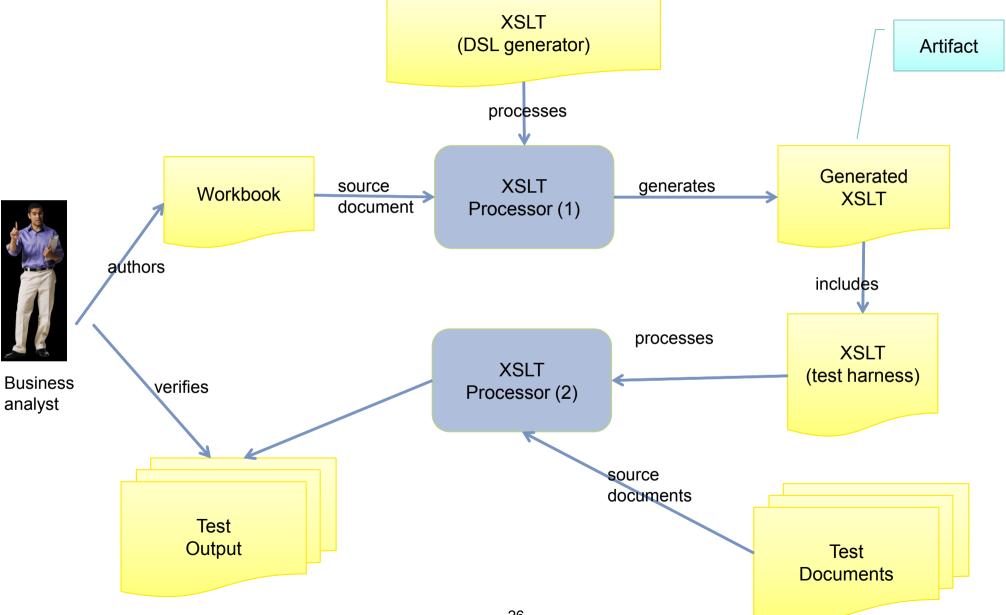
Basic Mechanism for Choosing Rules to Apply



Spreadsheet DSL For Extracting Tabular Data

•					n C	svExtractionN	lodel.xl	sx					
•	Accueil I	Mise en page	Tableaux	Graphiques	SmartArt	Formules	Donn	ées	Révision			✓ ‡	Ŧ
	Α		В	C		D			E		F	G	Ξ
1	namespace	fp	ml	http://www.fp	oml.org/FpM	L-5/recordkee	ping						
2	namespace	fix	ml	http://www.fi	xprotocol.org	g/FIXML-4-4							
3													
4	default-prefi	x			fixml			fpml					
5													
6	header	numbe	er/type	name	FixmlB	ond		Fpml	Bond		#FWD-SystemA	#FWD-SystemB	
7	for-each				/Bond/	TrdCaptRpt		/trade	e[details/bon	ld]			
8	for-each							.[@sy	vstem = ('Syst	emA', 'Syste	emB')]		
9	variable	element(f	pml:trade)	trade				curre	nt()				
10	variable	element(fixm	l:TrdCaptRpt)	trade	current	t()							
11	variable	xs:s	tring	ourParty				\$trad	e/header/on	BehalfOf/@	Pid		
12	variable	xs:s	tring	book	\$trade	/TrdLeg/@Bo	okid	\$trad	e/acct/book	[@id eq \$oı	urParty]/@ref		
13													
14	column		1	currency	\$trade	/ccy		\$trad	e/leg[1]/@C	су			
15	column		2	amount	\$trade	/@lastQty		\$trad	e/@notional	* 100			
16	column		3	system	'System	nC'		/*/@	system				
17	column		4	trader_id	\$trade	/@primaryTra	der	#FWD)(/*/@systen	n)	<pre>\$trade/@userid</pre>	/*/details/trader	
18	column		5	end_date	\$trade	/instr/@matu	rity	\$trad	e/payments[last()]/@da	te		
19	column	(6	trading_book	\$book			\$boo	k				
20 ∃ ₹	► ► CSV-	FUTURES CSV-E	BOND / CSV-FOR	EX 🖌 CSV-SWAP 🧹	+								1

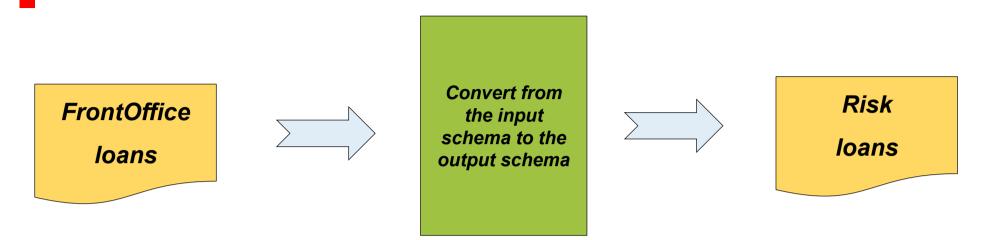
Steps in the Generation and Testing of the XSLT Artifact



Observed Results

- Good acceptance by the Business Analysts
 - BAs would even author Xpath functions in XSLT (e.g. sorts)
- Immediate testing results were a big benefit
- Some additional tools for analyzing data were also created (cardinality)
- Results are very structured with a *Rosetta Stone* type of equivalence

Schema-to-Schema Translation



- Globally very simple process (although some other flows not shown)
- The FrontOffice and Risk schemas were very different
 - Both strongly defined in XML Schema
 - Designed by different teams
 - Each had its own subject matter experts
 - Needed to find agreement between the two teams of subject matter experts

XSLT Templates for Schema-Aware Processing

```
<!-- ContreGarantie Concours: (150)
<xsl:template match = "element(*,defiml:DL Reference)"</pre>
            as = "element(*, fsc2:GarantieType)"
            mode = "ContreGarantie Concours" >
   <xsl:param name="elementName" as="xs:string"</pre>
                                                                required="yes"
                                                                                         />
   <xsl:param name="facility"
                              as="element(*,defiml:DL Facility)*" required="yes" tunnel="yes" />
   <xsl:param name="loan" as="element(*,defiml:DL Loan)*"</pre>
                                                               required="yes" tunnel="yes" />
   <xsl:element name="{$elementName}" type="fsc2:GarantieType" >
       <xsl:attribute name="statut" select="transco:statutComptabilise('Comptabilisee')" />
       <xsl:attribute name="indEligibGar" select="transco:indEligibGar('Eligible')" />
       <xsl:apply-templates select="current()" mode="CouvertFixe ContreGarantie Concours" >
          <xsl:with-param name="elementName" select="'CouvertFixe'" as="xs:string"/>
       </xsl:apply-templates>
```

ContreGarantie_	Concours	GarantieType	BlocDefinition		DL_Reference
70	@statut	xs:char(1) statutComptabilise	Transco	&Comptabilisee	xs:boolean
240	@indEligibGar	xs:char(1) indEligibGar	Transco	&Eligible	
-	CouvertFixe	CouvertFixeT CouvertFixe_ContreGarantie_Concour	SubMapping	current()	DL_Reference
-	CouvertPropor	CouvertPropc	NotUsed		
0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				*	

XSLT Templates for Schema-Aware Processing (2)

```
<!-- Garantie Reelle: (368)
<xsl:template match ="element(*,defiml:DL Collateral)"</pre>
            as
                 = "element(*, fsc2:GarantieType)"
            mode = "Garantie Reelle" >
   <xsl:param name="elementName" as="xs:string"</pre>
                                                        required="yes"
                                                                                 />
                             as="element(*,defiml:DL Loan)*" required="yes" tunnel="yes" />
   <xsl:param name="loan"
   <xsl:param name="loanProductPosition"</pre>
                                                         required="yes" tunnel="yes
                             as="element(*,defiml:DL LoanProductPosition)*" "
                                                                                 />
```

Garantie	Reelle		GarantieType	BlocDefinition	DL_Collateral
		#%ReferenceCollateral	xs:string	AssignElement	@id xs:string
		#%collateralCode	xs:string	AssignElement	collateralHeader/coll xs:string
				If	(\$collateralCode castable as xs:integer)
	68	@code	xs:char(5)	AssignElement	\$collateralCode xs:string
		\$mntDernEval	BankML_Mon	Rule	(#\$loanProductPosition, #\$loan, current(), 'MarkToMarket')
	83	@mntDernEval	xs:numeric(1	Rule	(\$mntDernEval/amo xs:numeric
				If	(collateralInfo[collateralAdjustedToExposureProfileIndicator=true()])
	-	CouvertPropor	CouvertPropo	SubMapping	current() DL_Collateral
				Else	
	-	CouvertFixe	CouvertFixeT	SubMapping	current() DL_Collateral
				EndIf	

Transcodification (Code List Translations)

COMMENT TranscoName	fromFieldName	fromCode	fromCodingS	toFieldName	toCode
SeniorityType-To-senioriteCreance	SeniorityType	JuniorSubordinated		senioriteCreance	JSO
SeniorityType-To-senioriteCreance	SeniorityType	Mezzanine	1	senioriteCreance	SSO
SeniorityType-To-senioriteCreance	SeniorityType	Senior		senioriteCreance	SEN
SeniorityType-To-senioriteCreance	SeniorityType	SeniorSecured	1	senioriteCreance	SEN
SeniorityType-To-senioriteCreance	SeniorityType	SeniorUnsecured	1	senioriteCreance	SEN
SeniorityType-To-senioriteCreance	SeniorityType	Subordinated		senioriteCreance	SSO
SeniorityType-To-senioriteCreance	SeniorityType	SuperPriority		senioriteCreance	SUP
SeniorityType-To-senioriteCreance	SeniorityType	Unknown	1	senioriteCreance	SEN
COMMENT	Transco SeniorityType-To-senioriteCr	reance		1	

- BAs are in charge of the translations
- Could also pull these from an external system if available

Rules (i.e. Xpath Functions)

getFacilityNewSyndicat	_partyRef	element(*, defiml:DL_Facility) element(*,defiml:DL_Reference) element(*, defiml:DL_TradePart) xs:string	((\$_tradePart/trade)[1]/specificTradeConditions/loanSpecificTradeConditions/loanT
getDistinctDLRefs	_dIRefs		for \$href in distinct-values(\$_dlRefs/@href) return ((\$_dlRefs[@href = \$href])[1])
getPartRef			for \$d in \$_dlRefs return (brkfct:riskPartGreater(\$_tradePart, \$_facility,\$d))

- BAs could write these rules in the spreadsheet
- This could not handle everything (ex: sorting) but was largely used

Observed Results

- Business Analysts were able to start with the model very early in the project
 - Detailed Specifications, Rules and Transcodifications authored originally in the DSL
- Immediate testing results were a big benefit (again)
- Subject matter experts (SMEs) used the DSL in meetings (often printed)
- SMEs also used an additional column in the DSL to indicate if they had validated each individual rule (fine-grained validation)
- The approach was quickly adopted for a number of other flows including a reverse flow

Some Tentative Conclusions

- The DSL Representation is extremely useful in the short and in the long run
- I've found Business Analysts to be mostly positive on the approach
 - Some BAs do not want to have to work on a « technical level »
 - In these cases, can transcribe any BA work into the DSL and then agree upon using the DSL as the common support for ongoing work
- The development time on the DSL is not that important (a few days of work)
- Designing a DSL does require creativity and some vision
- The technical implementors need to be enthusiastic about the approach
 - Their enthusiasm will win over recalcitrant SMEs and BAs

What Can't Be a DSL in a Spreadsheet?

- I haven't identified anything intrinsically too structured to be represented as a DSL in a Spreadsheet
- I do have a conjecture:
 - "Any functional process can be represented as a DSL in a Spreadsheet"***

• *** "provided that the implementor is clever enough"

Caveats

- Spreadsheet documents can be difficult for source control systems (ex: git)
 - Can't merge two divergent branches very easily
 - Also can't display differences between successive versions in a branch

Thanks for Listening

Questions?